This Page Is Inserted by IFW Operations and is not a part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

IMAGES ARE BEST AVAILABLE COPY.

As rescanning documents will not correct images, please do not report the images to the Image Problem Mailbox.

SUPPLEMENTAL DECLARATION **Utility Application**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled CANNULA WITH ASSOCIATED FILTER AND METHODS OF USE

the spe	the specification of which				
(Check		and was amended on	1997, as United States Application Serial No. <u>08/982,22</u> applicable)	<u>26</u>	

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment(s) referred to above. I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, § 1.56(1). I hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

Application Number	10		·
- Philodian Mailibei	Country	Date of Filing	Priority Claimed
			Yes No
L			

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application.

Application Number	Date of Filing	Status Patented, Pending or Abandoned	
08/842,727 08/640,015 08/584,759 08/580,223 08/553,137	April 16, 1997 April 30, 1996 January 11, 1996 December 28, 1995 November 7, 1995	Pending USP 5,769,816 Abandoned Abandoned Abandoned	

	LYON & LYON LLP 633 W Fifth St., Suite 4700 Los Angeles, CA 90071	Direct Telephone calls to: John Kappos (714) 751-6606
OC-31612 1		

	FULL NAME OF INVENTOR	FIRST Name Denise	MIDDLE Initial	LAST Name Barbut	
201	RESIDENCE & CITIZENSHIP	City New York	State or Foreign Country New York	Country of Citi	zenship
	POST OFFICE ADDRESS	Post Office Address 70 East 77th Street	City New York	State or Country NY	Zip Code 10021
	FULL NAME OF INVENTOR	FIRST Name Tracy	MIDDLE Initial D.	LAST Name Maahs	
202	RESIDENCE & CITIZENSHIP	City . Redwood City	State or Foreign Country California	Country of Citi	zenship
	POST OFFICE ADDRESS	Post Office Address 312 Sequola Avenue	City Redwood City	State or Country California	Zip Code 94061
	FULL NAME OF	FIRST Name			1 34001
	INVENTOR	None	MIDDLE Initial	LAST Name	
203	RESIDENCE & CITIZENSHIP	City	State or Foreign Country	Country of Citiz	zenship
	POST OFFICE ADDRESS	Post Office Address	City	State or Country	Zip Code

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Signature of Inventor	Denise Barbut
Date 2120/99	
Signature of Inventor	Tracy D. Maahs
Date	

· 	FULL NAME OF INVENTOR	FIRST Name Denise	MIDDLE Initial	LAST Name	
201	RESIDENCE & CITIZENSHIP	City New York	State or Foreign Gountry New York		izenship
	POST OFFICE ADDRESS	Post Office Address 70 East 77th Street	City New York	State or Country NY	Zip Code
	FULL NAME OF INVENTOR	FIRST Name Tracy	MIDDLE Initial	LAST Name	10021
202	RESIDENCE & CITIZENSHIP	City Redwood City	State or Foreign Country California		zenship
	POST OFFICE ADDRESS	Post Office Address 312 Sequois Avenue	City Redwood City	Support Country California	Zip Code
	FULL NAME OF	FIRST Name		Camornia	84081
	INVENTOR	None	MIDDLE Initial	LAST Name	
103	RESIDENCE & CITIZENSHIP	City	State or Foreign Country	Country of Citiz	enshio
	POST OFFICE ADDRESS	Post Office Address	City	State or Country	Zip Code

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Denise Barbut
Tracy D. Maahs
Trace of Maake



SEPTEMBER 29, 2003

O'MELVENY & MYERS LLP DAVID B. MURPHY 114 PACIFICA, SUITE 100 IRVINE, CA 92618-3315

PTAS Director of the United States Patent and Trademark Office Washington, DC 20231 www.uspto.gov

OCT 06 2003

O'Melveny & Myers LLP Irvine, California



UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 04/29/2003

REEL/FRAME: 013998/0632

NUMBER OF PAGES: 5

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

EMBOL-X, INC.

DOC DATE: 04/17/2003

ASSIGNEE:

EDWARDS LIFESCIENCES CORPORATION

ONE EDWARDS WAY

IRVINE, CALIFORNIA 92614

SERIAL NUMBER: 09016714 PATENT NUMBER: 6592546

SERIAL NUMBER: 09365650

PATENT NUMBER:

PATENT NUMBER:

SERIAL NUMBER: 09439646

SERIAL NUMBER: 09467293

PATENT NUMBER:

FILING DATE: 01/30/1998 ISSUE DATE: 07/15/2003

FILING DATE: 08/02/1999

ISSUE DATE:

FILING DATE: 11/12/1999

ISSUE DATE:

FILING DATE: 12/17/1999

ISSUE DATE: ()'Mclveny & Myers LLP File: 244,610-Action Item: Date Duc: Critical Date Attorney Path: JCK Docketed By:

Verified EXPRESS MAILING LABEL NO. EV337189705US

SERIAL NUMBER: PATENT NUMBER:	6589264	
SERIAL NUMBER: PATENT NUMBER:	09547953 6569182	FILING DATE: 04/12/2000 ISSUE DATE: 05/27/2003
SERIAL NUMBER: PATENT NUMBER:	09549989	FILING DATE: 04/14/2000 ISSUE DATE:
SERIAL NUMBER: PATENT NUMBER:	09550356 6551268	FILING DATE: 04/14/2000 ISSUE DATE: 04/22/2003
SERIAL NUMBER: PATENT NUMBER:	09614425 6626866 09663292	FILING DATE: 07/12/2000 ISSUE DATE: 09/30/2003
SERIAL NUMBER: PATENT NUMBER:	09721151	FILING DATE: 11/17/2000 ISSUE DATE:
SERIAL NUMBER: PATENT NUMBER:	09750536	FILING DATE: 12/28/2000 ISSUE DATE:
SERIAL NUMBER: PATENT NUMBER:	09751445	FILING DATE: 12/29/2000 ISSUE DATE:
SERIAL NUMBER: PATENT NUMBER:	09766690	FILING DATE: 01/18/2001 ISSUE DATE:
SERIAL NUMBER: PATENT NUMBER:	09799484	FILING DATE: 03/05/2001 ISSUE DATE:
SERIAL NUMBER: PATENT NUMBER:	09801427 6599266	FILING DATE: 03/07/2001 ISSUE DATE: 07/29/2003
SERIAL NUMBER: PATENT NUMBER:	09801503	FILING DATE: 03/07/2001 ISSUE DATE:
SERIAL NUMBER: PATENT NUMBER:		FILING DATE: 03/08/2001 ISSUE DATE:
SERIAL NUMBER: PATENT NUMBER:		FILING DATE: 03/27/2001 ISSUE DATE:
SERIAL NUMBER: PATENT NUMBER:		FILING DATE: 05/24/2001 ISSUE DATE:
SERIAL NUMBER: PATENT NUMBER:		FILING DATE: 06/25/2001 ISSUE DATE:

PATENT NUMBER:

FILING DATE: 08/28/2001 SERIAL NUMBER: 09941380 ISSUE DATE: PATENT NUMBER: FILING DATE: 11/29/2001 SERIAL NUMBER: 09997824 ISSUE DATE: PATENT NUMBER: FILING DATE: 10/31/2001 SERIAL NUMBER: 10001465 ISSUE DATE: PATENT NUMBER: FILING DATE: 10/31/2001 SERIAL NUMBER: 10002424 ISSUE DATE: PATENT NUMBER: FILING DATE: 11/30/2001 SERIAL NUMBER: 10006410 ISSUE DATE: PATENT NUMBER: FILING DATE: 11/06/2001 SERIAL NUMBER: 10013229 ISSUE DATE: PATENT NUMBER: FILING DATE: 01/03/2002 SERIAL NUMBER: 10038151 ISSUE DATE: PATENT NUMBER: FILING DATE: 10/24/2001 SERIAL NUMBER: 10055216 ISSUE DATE: PATENT NUMBER: FILING DATE: 01/30/2002 SERIAL NUMBER: 10066504 ISSUE DATE: PATENT NUMBER: FILING DATE: 02/07/2002 SERIAL NUMBER: 10071680 ISSUE DATE: PATENT NUMBER: FILING DATE: 02/15/2002 SERIAL NUMBER: 10077312 ISSUE DATE: PATENT NUMBER: FILING DATE: 03/05/2002 SERIAL NUMBER: 10092651 ISSUE DATE: PATENT NUMBER: FILING DATE: 06/04/2002 SERIAL NUMBER: 10164353 ISSUE DATE: PATENT NUMBER: FILING DATE: 06/17/2002 SERIAL NUMBER: 10174074 ISSUE DATE: PATENT NUMBER: FILING DATE: 10/22/2002 SERIAL NUMBER: 10278753 ISSUE DATE: PATENT NUMBER: FILING DATE: 11/04/2002 SERIAL NUMBER: 10287965 TSSUE DATE: PATENT NUMBER: FILING DATE: 11/12/2002 SERIAL NUMBER: 10293030 ISSUE DATE: PATENT NUMBER: FILING DATE: 11/12/2002 SERIAL NUMBER: 10293533 ISSUE DATE:

SERIAL NUMBER: 10334581 FILING DATE: 12/31/2002 ISSUE DATE: O1/10/2003 ISSUE DATE: ISSUE DATE: O1/10/2003 ISSUE DATE: ISSUE DATE: O1/10/2003 ISS			
SERIAL NUMBER: 10340181 FILING DATE: 01/10/2003 SERIAL NUMBER: 10366117 FILING DATE: 02/12/2003 SERIAL NUMBER: 08640015 FILING DATE: 04/30/1998 SERIAL NUMBER: 08840165 FILING DATE: 06/23/1998 SERIAL NUMBER: 08853165 FILING DATE: 05/08/1997 PATENT NUMBER: 5846260 FILING DATE: 12/08/1998 SERIAL NUMBER: 08759591 FILING DATE: 12/08/1998 SERIAL NUMBER: 08899606 FILING DATE: 03/02/1999 SERIAL NUMBER: 08899606 FILING DATE: 07/24/1997 PATENT NUMBER: 5928192 FILING DATE: 07/24/1997 SERIAL NUMBER: 09131248 FILING DATE: 08/07/1998 SERIAL NUMBER: 09554694 FILING DATE: 08/07/1999 SERIAL NUMBER: 08870956 FILING DATE: 11/09/1999 SERIAL NUMBER: 0980555 FILING DATE: 11/09/1999 SERIAL NUMBER: 08842727 FILING DATE: 11/09/1999 SERIAL NUMBER: 09070660 FILING DATE: 11/23/1999 SERIAL NUMBER: 09161937 FILING DATE: 09/28/1998 SERIAL NUMBER: 09070660 FILING DATE: 12/28/1999 SERIAL NUMBER: 09070660 FILING DATE: 12/28/1999 SERIAL NUMBER: 09070660 FILING DATE: 12/28/1999 SERIAL NUMBER: 09209561 FILING DATE: 12/28/1999 SERIAL NUMBER: 09209561 FILING DATE: 12/11/1998 SERIAL NUMBER: 09209561 FILING DATE: 12/11/1998 SERIAL NUMBER: 09209561 FILING DATE: 12/11/1998 SERIAL NUMBER: 09246195 FILING DATE: 03/02/1998 PATENT NUMBER: 60033420 FILING DATE: 03/02/1998 SERIAL NUMBER: 09286195 FILING DATE: 03/07/2000 SERIAL NUMBER: 08993202 FILING DATE: 12/18/1997 PATENT NUMBER: 06045598 FILING DATE: 12/18/1997 SERIAL NUMBER: 09408619 FILING DATE: 04/05/1999 PATENT NUMBER: 0042598 FILING DATE: 12/18/1997 PATENT NUMBER: 0042598 FILING DATE: 12/18/1997 PATENT NUMBER: 0042598 FILING DATE: 104/11/2000		10334581	
PATENT NUMBER: ISSUE DATE:		10340180	
SERIAL NUMBER: 08640015 PATENT NUMBER: 5769816 SERIAL NUMBER: 5769816 SERIAL NUMBER: 08853165 PATENT NUMBER: 5846260 SERIAL NUMBER: 5846260 SERIAL NUMBER: 5876367 SERIAL NUMBER: 5876367 SERIAL NUMBER: 5876367 SERIAL NUMBER: 08899606 PATENT NUMBER: 5928192 SERIAL NUMBER: 09131248 PATENT NUMBER: 5954694 SERIAL NUMBER: 08970956 PATENT NUMBER: 5980555 SERIAL NUMBER: 5980555 SERIAL NUMBER: 08842727 PATENT NUMBER: 5989281 SERIAL NUMBER: 09161937 PATENT NUMBER: 6007523 SERIAL NUMBER: 09070660 PATENT NUMBER: 6007557 SERIAL NUMBER: 09209561 PATENT NUMBER: 6024755 SERIAL NUMBER: 09146216 PATENT NUMBER: 09146216 PATENT NUMBER: 09286195 PATENT NUMBER: 09286195 PATENT NUMBER: 09286195 PATENT NUMBER: 09286195 PATENT NUMBER: 0916216 PATENT NUMBER: 09162199 PATENT NUMBER: 0916216 PATENT NUMBER: 09162199 PATENT NUMBER: 09286195 PATENT NUMBER: 09286195 PATENT NUMBER: 08993202 PATENT NUMBER: 08993202 PATENT NUMBER: 0164331 SERIAL NUMBER: 09170359 FILING DATE: 12/18/1997 PATENT NUMBER: 040411/2000			
PATENT NUMBER: 5769816 SERIAL NUMBER: 08853165 PATENT NUMBER: 5846260 SERIAL NUMBER: 5846260 SERIAL NUMBER: 5846260 SERIAL NUMBER: 5876367 SERIAL NUMBER: 5876367 SERIAL NUMBER: 08899606 PATENT NUMBER: 5928192 SERIAL NUMBER: 09131248 PATENT NUMBER: 5954694 SERIAL NUMBER: 08970956 PATENT NUMBER: 5980555 SERIAL NUMBER: 08842727 PATENT NUMBER: 5989281 SERIAL NUMBER: 08842727 PATENT NUMBER: 5989281 SERIAL NUMBER: 08642727 PATENT NUMBER: 5989281 SERIAL NUMBER: 09161937 PATENT NUMBER: 6007523 SERIAL NUMBER: 09070660 PATENT NUMBER: 6007557 SERIAL NUMBER: 09209561 PATENT NUMBER: 6024755 SERIAL NUMBER: 09146216 PATENT NUMBER: 6033420 SERIAL NUMBER: 09286195 PATENT NUMBER: 08893202 PATENT NUMBER: 08993202 PATENT NUMBER: 08993202 PATENT NUMBER: 08993202 PATENT NUMBER: 0870359 FILING DATE: 04/15/1999 ISSUE DATE: 01/13/1998 SERIAL NUMBER: 08993202 PATENT NUMBER: 09170359 FILING DATE: 04/15/1999 ISSUE DATE: 01/13/1998 SERIAL NUMBER: 08993202 PATENT NUMBER: 08993202 PATENT NUMBER: 09170359 FILING DATE: 12/18/1997 ISSUE DATE: 04/11/2000		10366117	FILING DATE: 02/12/2003 ISSUE DATE:
SERIAL NUMBER: 08759591 PATENT NUMBER: 5876367 SERIAL NUMBER: 08899606 PATENT NUMBER: 5928192 SERIAL NUMBER: 09131248 PATENT NUMBER: 5954694 SERIAL NUMBER: 08970956 PATENT NUMBER: 5980555 SERIAL NUMBER: 08842727 PATENT NUMBER: 5989281 SERIAL NUMBER: 09161937 PATENT NUMBER: 6007523 SERIAL NUMBER: 09161937 PATENT NUMBER: 6007557 SERIAL NUMBER: 09070660 PATENT NUMBER: 09070660 PATENT NUMBER: 09209561 PATENT NUMBER: 09209561 PATENT NUMBER: 09209561 PATENT NUMBER: 09146216 PATENT NUMBER: 09346216 PATENT NUMBER: 09286195 PATENT NUMBER: 094/11/2000			
SERIAL NUMBER: 08899606 PATENT NUMBER: 5928192 SERIAL NUMBER: 09131248 PATENT NUMBER: 5954694 SERIAL NUMBER: 08970956 PATENT NUMBER: 5980555 SERIAL NUMBER: 08842727 PATENT NUMBER: 5989281 SERIAL NUMBER: 5989281 SERIAL NUMBER: 09161937 PATENT NUMBER: 6007523 SERIAL NUMBER: 09070660 PATENT NUMBER: 09070660 PATENT NUMBER: 09070661 PATENT NUMBER: 09070567 SERIAL NUMBER: 09070660 PATENT NUMBER: 09161937 SERIAL NUMBER: 09209561 PATENT NUMBER: 09209561 PATENT NUMBER: 09146216 PATEN		08853165 5846260	TSSUE DATE: 12/08/1998
SERIAL NUMBER: 09131248 PATENT NUMBER: 5954694 FILING DATE: 08/07/1998 SERIAL NUMBER: 08970956 PATENT NUMBER: 5980555 FILING DATE: 11/14/1997 SERIAL NUMBER: 08842727 PATENT NUMBER: 5989281 FILING DATE: 04/16/1997 SERIAL NUMBER: 09161937 PATENT NUMBER: 6007523 FILING DATE: 09/28/1998 SERIAL NUMBER: 09070660 PATENT NUMBER: 6007557 FILING DATE: 04/29/1998 SERIAL NUMBER: 09209561 PATENT NUMBER: FILING DATE: 12/28/1999 SERIAL NUMBER: 09209561 PATENT NUMBER: FILING DATE: 02/15/2000 SERIAL NUMBER: 09146216 PATENT NUMBER: FILING DATE: 03/07/2000 SERIAL NUMBER: 09286195 PATENT NUMBER: FILING DATE: 03/07/2000 SERIAL NUMBER: 09286195 PATENT NUMBER: FILING DATE: 03/28/2000 SERIAL NUMBER: 0042598 PATENT NUMBER: 004/05/1999 PATENT NUMBER: 004/21998 PATENT NUMBER: 004/21998 PATENT NUMBER: 004/21998 PATENT NUMBER: 001/21998 PATENT NUMBER: 001/21998 PATENT NUMBER: 001/21998 PATENT NUMBER: 001/21998 PATENT NUMBER:		08759591 5876367	FILING DATE: 12/05/1996 ISSUE DATE: 03/02/1999
SERIAL NUMBER: 08970956 FILING DATE: 11/14/1997 PATENT NUMBER: 5980555 ISSUE DATE: 11/09/1999 SERIAL NUMBER: 08842727 FILING DATE: 04/16/1997 PATENT NUMBER: 5989281 ISSUE DATE: 11/23/1999 SERIAL NUMBER: 09161937 FILING DATE: 09/28/1998 PATENT NUMBER: 6007523 ISSUE DATE: 12/28/1999 SERIAL NUMBER: 09070660 FILING DATE: 04/29/1998 PATENT NUMBER: 6007557 ISSUE DATE: 12/28/1999 SERIAL NUMBER: 09209561 FILING DATE: 12/11/1998 PATENT NUMBER: 6024755 ISSUE DATE: 02/15/2000 SERIAL NUMBER: 09146216 FILING DATE: 03/07/2000 SERIAL NUMBER: 09286195 FILING DATE: 03/07/2000 SERIAL NUMBER: 08993202 FILING DATE: 03/28/2000 SERIAL NUMBER: 08993202 FILING DATE: 04/11/2000 SERIAL NUMBER: 09170359 FILING DATE: 10/13/1998		08899606 5928192	FILING DATE: 07/24/1997 ISSUE DATE: 07/27/1999
PATENT NUMBER: 5980555 SERIAL NUMBER: 08842727 PATENT NUMBER: 5989281 SERIAL NUMBER: 5989281 SERIAL NUMBER: 09161937 PATENT NUMBER: 6007523 SERIAL NUMBER: 09070660 PATENT NUMBER: 6007557 SERIAL NUMBER: 09209561 PATENT NUMBER: 6024755 SERIAL NUMBER: 09146216 PATENT NUMBER: 6033420 SERIAL NUMBER: 09286195 PATENT NUMBER: 09286195 PATENT NUMBER: 09286195 PATENT NUMBER: 08993202 SERIAL NUMBER: 08993202 PATENT NUMBER: 08993202 SERIAL NUMBER: 08993202 PATENT NUMBER: 09170359 SERIAL NUMBER: 09170359 FILING DATE: 12/18/1997 ISSUE DATE: 04/11/2000		09131248 5954694	FILING DATE: 08/07/1998 ISSUE DATE: 09/21/1999
PATENT NUMBER: 5989281 SERIAL NUMBER: 09161937 PATENT NUMBER: 09070523 SERIAL NUMBER: 09070660 PATENT NUMBER: 6007557 SERIAL NUMBER: 09209561 PATENT NUMBER: 6024755 SERIAL NUMBER: 09146216 PATENT NUMBER: 6033420 SERIAL NUMBER: 09286195 PATENT NUMBER: 09462598 SERIAL NUMBER: 08993202 SERIAL NUMBER: 08993202 PATENT NUMBER: 08993202 SERIAL NUMBER: 08993202 SERIAL NUMBER: 09170359 SERIAL NUMBER: 09170359 FILING DATE: 12/18/1997 ISSUE DATE: 04/011/2000 SERIAL NUMBER: 08993202 FILING DATE: 12/18/1997 ISSUE DATE: 04/11/2000			
SERIAL NUMBER: 09070660 FILING DATE: 04/29/1998 PATENT NUMBER: 6007557 ISSUE DATE: 12/28/1999 SERIAL NUMBER: 09209561 FILING DATE: 12/11/1998 PATENT NUMBER: 6024755 ISSUE DATE: 02/15/2000 SERIAL NUMBER: 09146216 FILING DATE: 09/02/1998 PATENT NUMBER: 6033420 FILING DATE: 03/07/2000 SERIAL NUMBER: 09286195 FILING DATE: 04/05/1999 PATENT NUMBER: 08993202 FILING DATE: 03/28/2000 SERIAL NUMBER: 6048331 FILING DATE: 04/11/2000 SERIAL NUMBER: 09170359 FILING DATE: 10/13/1998			
PATENT NUMBER: 6007557 SERIAL NUMBER: 09209561 PATENT NUMBER: 6024755 SERIAL NUMBER: 09146216 PATENT NUMBER: 6033420 SERIAL NUMBER: 09286195 PATENT NUMBER: 6042598 SERIAL NUMBER: 08993202 PATENT NUMBER: 08993202 SERIAL NUMBER: 6048331 SERIAL NUMBER: 09170359 FILING DATE: 04/05/1999 ISSUE DATE: 03/28/2000 FILING DATE: 04/11/2000		09161937 6007523	FILING DATE: 09/28/1998 ISSUE DATE: 12/28/1999
PATENT NUMBER: 6024755 SERIAL NUMBER: 09146216 PATENT NUMBER: 6033420 SERIAL NUMBER: 09286195 PATENT NUMBER: 6042598 SERIAL NUMBER: 08993202 PATENT NUMBER: 6048331 SERIAL NUMBER: 09170359 FILING DATE: 04/05/1999 ISSUE DATE: 03/28/2000 FILING DATE: 12/18/1997 ISSUE DATE: 04/11/2000			
PATENT NUMBER: 6033420 SERIAL NUMBER: 09286195 PATENT NUMBER: 6042598 SERIAL NUMBER: 08993202 PATENT NUMBER: 6048331 SERIAL NUMBER: 09170359 ISSUE DATE: 03/07/2000 FILING DATE: 04/05/1999 ISSUE DATE: 03/28/2000 FILING DATE: 12/18/1997 ISSUE DATE: 04/11/2000			
PATENT NUMBER: 6042598 SERIAL NUMBER: 08993202 PATENT NUMBER: 6048331 SERIAL NUMBER: 09170359 FILING DATE: 12/18/1997 ISSUE DATE: 04/11/2000 FILING DATE: 10/13/1998			
PATENT NUMBER: 6048331 ISSUE DATE: 04/11/2000 SERIAL NUMBER: 09170359 FILING DATE: 10/13/1998			
DERTHE HOUSER. OFF. COO.			

•

09181790 6051015	FILING DATE: 10/28/1998 ISSUE DATE: 04/18/2000
	FILING DATE: 11/24/1998 ISSUE DATE: 05/02/2000
	FILING DATE: 11/20/1998 ISSUE DATE: 05/30/2000
	FILING DATE: 10/21/1998 ISSUE DATE: 06/13/2000
09199233	FILING DATE: 11/24/1998 ISSUE DATE: 06/27/2000
09199232 6083239	FILING DATE: 11/24/1998 ISSUE DATE: 07/04/2000
	FILING DATE: 12/17/1997 ISSUE DATE: 07/11/2000
09149981	FILING DATE: 09/09/1998 ISSUE DATE: 07/25/2000
	FILING DATE: 09/02/1998 ISSUE DATE: 08/08/2000
	FILING DATE: 08/09/1999 ISSUE DATE: 08/15/2000
09336372 6117154	FILING DATE: 06/17/1999 ISSUE DATE: 09/12/2000
	FILING DATE: 08/11/1998 ISSUE DATE: 10/10/2000
	FILING DATE: 07/12/1999 ISSUE DATE: 10/24/2000
	FILING DATE: 05/07/1999 ISSUE DATE: 11/14/2000
	FILING DATE: 01/05/2000 ISSUE DATE: 11/14/2000
	FILING DATE: 09/08/1999 ISSUE DATE: 11/28/2000
	FILING DATE: 12/27/1999 ISSUE DATE: 11/28/2000
NUMBER:	NUMBER: 09199232 NUMBER: 6083239 NUMBER: 08982226 NUMBER: 6086605 NUMBER: 09008647 NUMBER: 6090097 NUMBER: 09149981 NUMBER: 6093173 NUMBER: 09145809 NUMBER: 6099498 NUMBER: 09370674 NUMBER: 6102931

SERIAL NUMBER PATENT NUMBER	R: 09130585 R: 6168586	FILING DATE: 08/07/1998 ISSUE DATE: 01/02/2001
SERIAL NUMBER		FILING DATE: 11/09/1999 ISSUE DATE: 01/09/2001
SERIAL NUMBER	R: 6176851	FILING DATE: 08/31/1999 ISSUE DATE: 01/23/2001
SERIAL NUMBER	R: 09294533 R: 6196994	FILING DATE: 04/19/1999 ISSUE DATE: 03/06/2001
SERIAL NUMBER	R: 09421155 R: 6217548	FILING DATE: 10/19/1999 ISSUE DATE: 04/17/2001
SERIAL NUMBER	R: 09443581 R: 6224620	FILING DATE: 11/18/1999 ISSUE DATE: 05/01/2001
SERIAL NUMBER	R: 09531726 R: 6228053	FILING DATE: 03/21/2000 ISSUE DATE: 05/08/2001
SERIAL NUMBER	D COOLEAA	FILING DATE: 05/12/1997 ISSUE DATE: 05/15/2001
SERIAL NUMBER	R: 09455874 R: 6235045	FILING DATE: 12/06/1999 ISSUE DATE: 05/22/2001
SERIAL NUMBER	R: 09365991 R: 6245087	ISSUE DATE: 05/22/2001 FILING DATE: 08/03/1999 ISSUE DATE: 06/12/2001
SERIAL NUMBER	R: 09131181 R: 6251119	FILING DATE: 08/07/1998 ISSUE DATE: 06/26/2001
SERIAL NUMBER	R: 08996532	FILING DATE: 12/23/1997 ISSUE DATE: 07/10/2001
SERIAL NUMBER		FILING DATE: 10/01/1999 ISSUE DATE: 07/31/2001
SERIAL NUMBE		FILING DATE: 09/08/1998 ISSUE DATE: 08/07/2001
SERIAL NUMBE		FILING DATE: 12/03/1999 ISSUE DATE: 08/07/2001
SERIAL NUMBE PATENT NUMBE		FILING DATE: 08/04/1999 ISSUE DATE: 08/28/2001
SERIAL NUMBE		FILING DATE: 11/16/1999 ISSUE DATE: 09/11/2001
SERIAL NUMBE PATENT NUMBE		FILING DATE: 05/02/2000 ISSUE DATE: 11/13/2001

SERIAL NUMBER: PATENT NUMBER:	6319268	FILING DATE: 08/02/2000 ISSUE DATE: 11/20/2001
SERIAL NUMBER: PATENT NUMBER:	09350780	FILING DATE: 07/09/1999 ISSUE DATE: 02/26/2002
SERIAL NUMBER: PATENT NUMBER:	09616985 6352530	FILING DATE: 07/14/2000 ISSUE DATE: 03/05/2002
SERIAL NUMBER: PATENT NUMBER:	09568532 6364896	FILING DATE: 05/09/2000 ISSUE DATE: 04/02/2002
SERIAL NUMBER: PATENT NUMBER:	09473584 6406471 09691641 6423086	FILING DATE: 12/28/1999 ISSUE DATE: 06/18/2002
SERIAL NUMBER: PATENT NUMBER:	09691641 6423086	FILING DATE: 10/18/2000 ISSUE DATE: 07/23/2002
SERIAL NUMBER: PATENT NUMBER:	09145808 6440120	FILING DATE: 09/02/1998 ISSUE DATE: 08/27/2002
SERIAL NUMBER: PATENT NUMBER:	09145808 6440120 09691386 6443957	FILING DATE: 10/17/2000 ISSUE DATE: 09/03/2002
SERIAL NUMBER: PATENT NUMBER:	09131158 6461327 09454240 6468262	FILING DATE: 08/07/1998 ISSUE DATE: 10/08/2002
SERIAL NUMBER: PATENT NUMBER:	09454240 6468262	FILING DATE: 12/02/1999 ISSUE DATE: 10/22/2002
SERIAL NUMBER: PATENT NUMBER:	09844895 6494895	FILING DATE: 04/26/2001 ISSUE DATE: 12/17/2002
SERIAL NUMBER: PATENT NUMBER:	09844895 6494895 09642570 6499487	FILING DATE: 08/17/2000 ISSUE DATE: 12/31/2002
SERIAL NUMBER: PATENT NUMBER:	10043869 6500191	FILING DATE: 11/01/2001 ISSUE DATE: 12/31/2002
SERIAL NUMBER: PATENT NUMBER:	09846309 6508826	FILING DATE: 11/01/2001 ISSUE DATE: 12/31/2002 FILING DATE: 04/30/2001 ISSUE DATE: 01/21/2003
SERIAL NUMBER: PATENT NUMBER:		FILING DATE: 06/26/2001 ISSUE DATE: 03/25/2003

MARY BENTON, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS

_1/

05-02	- 2003
FORM PTO-1596 APR 2 9 2003 6 REC	LLS DEPARTMENT OF COMMERCE
Docket Nos. 24 to posture 10243	7798
To the Honorable Commissioner of Patents and Trademarks. Please r	ecord the attached onginal documents or copy thereof.
1. Name of conveying party(ies): EMBOL-X, Inc. Additional name(s) of conveying party(ies) attached?	2. Name and address of receiving party: Name: EDWARDS LIFESCIENCES CORPORATION
☐ Yes ☒ No 3. Nature of conveyance: ☐ Assignment ☐ Merger	Internal Address:
Security Agreement Change of Name	City: State: Zip:
Other	Street Address: One Edwards Way
Execution Date: April 17, 2003	City: Irvine State: CA Zip: 92614
	Additional name(s) & address(es) attached? Yes No
4. Application number(s) or patent number(s): Please see Exhibits A If this document is being filed together with a new	A and B (attached) application, the execution date of the application is:
A. Patent Application No(s).: Please see Exhibits A and B (attached)	B. Patent No(s).: Please see Exhibits A and B (attached)
Additional numbers attached? Xes **P	
Name and address of party to whom correspondence concerning document should be mailed:	6. Total number of applications and patents involved: 109
Name: David B. Murphy	7. Total fee (37 CFR 3.41): \$40.00 Enclosed
Internal Address: O'Melveny & Myers LLP 114 Pacifica, Suite 100 Irvine, CA 92618-3315	
	8. Deposit Account Number: 50-0639
DO NOT U	SE THIS SPACE
9. Statement and signature:	
To the best of my knowledge and belief, the foregoing information original document.	on is true and correct and any attached copy is a true copy of the
David B. Murphy, Reg. No. 31,125	Date: April 24, 2003
, , , , , , , , , , , , , , , , , , ,	ncluding cover sheet: _5_
OMB No. 0651-0011 (exp. 4/94)	
Do not deta Mail documents to be recorded with required cover sheet information	ach this portion to: nt and Trademark Office
/08/2003 LHUELLER 60000188 500639 5769816. Box As	nt and Trademark Office ssignments n, D.C. 20231

Public burden reporting for this sample cover sheet is estimated to average about 30 minutes per document to be recorded, including time for reviewing the document and gathering the data needed, and completing and reviewing the sample cover sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Office of Information Systems, PK2-1000C, Washington, D.C. 20231, and to the Office of Management and Budget, Paperwork Reduction Project, (0651-0011), Washington, D.C. 20503

01 FC:8021

EXHIBIT A

	Patent No.	Serial No.	Filing	Issue Date	First-Named Inventor
		09/640 015	Date 04/30/96	06/23/98	Barbut, Denise
	5,769,816	08/640,015	05/08/97	12/08/98	Maahs, Tracy D.
	5,846,260	08/853,165	12/05/96	03/02/99	Kaganov, Alan L.
	5,876,367	08/759,591			Maahs, Tracy D.
	5,928,192	08/899,606	07/24/97	07/27/99	Sunseri, Gary
	5,954,694	09/131,248	08/07/98	09/21/99	Barbut, Denise
	5,980,555	08/970,956	11/14/97	11/09/99	
	5,989,281	08/842,727	04/16/97	11/23/99	Barbut, Denise
	6,007,523	09/161,937	09/28/98	12/28/99	Mangosong, Lorraine
	6,007,557	09/070,660	04/29/98	12/28/99	Ambrisco, William
	6,024,755	09/209,561	12/11/98	02/15/00	Addis, Bruce
	6,033,420	09/146,216	09/02/98	03/07/00	Hahnen, Kevin
	6,042,598	09/286,195	04/05/99	03/28/00	Tsugita, Ross S.
	6,048,331	08/993,202	12/18/97	04/11/00	Tsugita, Ross S.
	6,051,014	09/170,359	10/13/98	04/18/00	Jang, Yue-Teh
	6,051,015	09/181,790	10/28/98	04/18/00	Maahs, Tracy D.
J	6,056,720	09/198,439	11/24/98	05/02/00	Morse, Stephen
	6,068,621	09/196,942	11/20/98	05/30/00	Balceta, Jobert
	6,074,357	09/176,145	10/21/98	06/13/00	Kaganov, Alan L.
	6,080,183	09/199,233	11/24/98	06/27/00	Tsugita, Ross S.
	6,083,239	09/199,232	11/24/98	07/04/00	Addis, Bruce
	6,086,605	08/982,226	12/17/97	07/11/00	Barbut, Denise
	6,090,097	09/008,647	01/16/98	07/18/00	Pastrone, Giovanni
	6,093,173	09/149,981	09/09/98	07/25/00	Balceta, Jobert P.
	6,099,498	09/145,809	09/02/98	08/08/00	Addis, Bruce
	6,102,931	09/370,674	08/09/99	08/15/00	Thornton, Peter
	6,117,154	09/336,372	06/17/99	09/12/00	Barbut, Denise
	6,129,713	09/132,354	08/11/98	10/10/00	Mangosong, Lorraine
	6,136,016	09/352,546	07/12/99	10/24/00	Barbut, Denise
	6,146,357	09/307,092	05/07/99	11/14/00	Addis, Bruce
	6,146,400	09/478,151	01/05/00	11/14/00	Hahnen, Kevin
	6,152,947	09/392,059	09/08/99	11/28/00	Ambrisco, William
	6,152,948	09/472,763	12/27/99	11/28/00	Addis, Bruce
	6,168,586	09/130,585	08/07/98	01/02/01	Hahnen, Kevin
	6,171,328	09/437,097	11/09/99	01/09/01	-Addis, Bruce
	6,176,851	09/387,634	08/31/99	01/23/01	Tsugita, Ross S.
	6,196,994	09/294,533	04/19/99	03/06/01	Maahs, Tracy D.
	6,217,548	09/421,155	10/19/99	04/17/01	Tsugita, Ross S.
	6,224,620	09/443,581	11/18/99	05/01/01	Maahs, Tracy D.
	6,228,053	09/531,726	03/21/00	05/08/01	Morse, Stephen
	6,231,544	08/854,806	05/12/97	05/15/01	Tsugita, Ross S.
	6,235,045	09/455,874	12/06/99	05/22/01	Barbut, Denise
	6,245,087	09/365,991	08/03/99	06/12/01	Addis, Bruce
	6,251,119	09/303,331	08/07/98	06/26/01	Addis, Bruce
			12/23/97	07/10/01	McKenzie, John R.
-	6,258,120	08/996,532	10/01/99	07/31/01	Mangosong, Lorraine
	6,267,751	09/411,483	09/08/98	08/07/01	Hahnen, Kevin
	6,270,490	09/149,314		08/07/01	Tsugita, Ross S.
	6,270,513	09/455,011	12/03/99	08/28/01	Turovskiy, Roman
	6,280,432	09/369,058	08/04/99		Jang, Yue-Teh
	6,287,321	09/441,707	11/16/99	09/11/01	Tsugita, Ross S.
1	6,315,787	09/562,799	05/02/00	11/13/01	i sugna, ross o.

Patent No.	Serial No.	Filing Date	Issue Date	First-Named Inventor
6,319,268	09/630,805	08/02/00	11/20/01	Ambrisco, William
6,350,341	09/350,780	07/09/99	02/26/02	Sunseri, Gary
6,352,530	09/616,985	07/14/00	03/05/02	Mangosong, Lorraine
6,364,896	09/568,532	05/09/00	04/02/02	Addis, Bruce
6,406,471	09/473,584	12/28/99	06/18/02	Jang, Yue-Teh
6,423,086	09/691,641	10/18/00	07/23/02	Barbut, Denise
6,440,120	09/145,808	09/02/98	08/27/02	Maahs, Tracy D.
6,443,957	09/691,386	10/17/00	09/03/02	Addis, Bruce
6,461,327	09/131,158	08/07/98	10/08/02	Addis, Bruce
6,468,262	09/454,240	12/02/99	10/22/02	Murphy, Richard O.
6,494,895	09/844,895	04/26/01	12/17/02	Addis, Bruce
6,499,487	09/642,570	08/17/00	12/31/02	McKenzie, John
6,500,191	10/043,869	11/01/01	12/31/02	Addis, Bruce
6,508,826	09/846,309	04/30/01	01/21/03	Murphy, Richard O.
6,537,297	09/893,119	06/26/01	03/25/03	Tsugita, Ross S.

EXHIBIT B

U.S.	U.S.	E' AND ALL STATE
Serial No.	Filing Date	First-Named Inventor
09/016,714	01/30/98	Barbut
09/365,650	08/02/99	Mangosong-Martinez
09/439,646	11/12/99	Turovskiy
09/467,293	12/17/99	Pastrone
09/478,818	01/06/00	Pastrone
09/547,953	04/12/00	Balceta
09/549,989	04/14/00	Balceta
09/550,356	04/14/00	Kaganov
09/614,425	07/12/00	Addis
09/663,292	09/15/00	Hahnen
09/687,922	10/13/00	Addis
09/721,151	11/17/00	Addis
09/750,536	12/28/00	Pastrone
09/751,445	12/29/00	Hahnen
09/766,690	01/18/01	Lilly
09/799,484	03/05/01	Maahs
09/801,427	03/07/01	Morse
09/801,503	03/07/01	Maahs
09/803,745	03/08/01	Turovskiy
09/819,169	03/27/01	Turovskiy
09/866,282	05/24/01	Mangosong
09/892,028	06/25/01	Jang
09/941,380	08/28/01	Mangosong
09/997,824	11/29/01	Sunseri
10/001,465	10/31/01	Ambrisco
10/002,424	10/31/01	Turovskiy
10/006,410	11/30/01	Barbut
10/013,229	11/06/01	Murphy
10/038,151	01/03/02	Wong
10/055,216	10/24/01	Barbut
10/066,504	01/30/02	Martinez
10/071,680	02/08/02	Lilly
10/077,312	02/15/02	McKenzie
10/092,651	03/05/02	Thornton
10/164,353	06/04/02	Thornton
10/174,074	06/17/02	Jang
10/278,753	10/22/02	Murphy
10/287,965	11/04/02	Jump
10/293,030	11/12/02	Addis
10/293,533	11/12/02	Tsugita
10/334,581	12/31/02	Addis
10/340,180	01/10/03	Balceta
10/340,181	01/10/03	Murphy
10/366,117	02/12/03	Kaganov

ASSIGNMENT OF PATENTS AND PATENT APPLICATIONS

WHEREAS, EMBOL-X, INC., a corporation organized and existing under and by virtue of the laws of the state of DELAWARE and having its principal place of business at 1635A South Main Street, Milpitas, CA 95035-6262 (hereinafter referred to as "ASSIGNOR"), have acquired the exclusive right, title and interest in the patents listed in EXHIBIT A and the patent applications listed in EXHIBIT B; and

WHEREAS, EDWARDS LIFESCIENCES CORPORATION, a corporation organized and existing under and by virtue of the laws of the state of DELAWARE and having its principal place of business at One Edwards Way, Irvine, CA 92614, (hereinafter referred to as "ASSIGNEE"), is desirous of acquiring the exclusive right, title and interest in, to and under said inventions and in, to and under any Patents or similar legal protection to be obtained therefor in the United States of America, its territorial possessions and in any and all countries foreign thereto.

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR hereby sells, assigns, transfers and sets over unto the said ASSIGNEE, its successors and assigns, the full and exclusive right, title and interest to said inventions and to all Letters Patents or applications or similar legal protections, including the right to file suit and obtain damages for past infringement, not only in the United States and its territorial possessions, but in all countries foreign thereto, to be obtained for said inventions by said patents and applications, and to any continuations, divisions, renewals, substitutes or reissues thereof or any legal equivalents thereof in the United States or a foreign country for the full term or terms for which the same may be granted, including all priority rights under the International Convention; and ASSIGNOR hereby authorizes and requests the Commissioner of Patents and Trademarks to issue said Letters Patent or any legal equivalent thereof to said ASSIGNEE, its successors and assigns, in accordance with this Assignment.

EMBOL-X, INC.

Don Rohrbaugh President and CEO EMBOL-X, INC.

1635A South Main Street Milpitas, CA 95035-6262

STATE OF CALIFORNIA **COUNTY OF ORANGE**

On April, 2003 before me, Bhank S. Fallow, Notary Public, personally appeared Don Rohrbaugh, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

ASHANTI S. FALCON Commission # 1371627 Notary Public - California 🕏 **Orange County**

My Comm. Expires Aug 24, 2006



UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office

ASSISTANT SECRETARY AND COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

AUGUST 24, 1998

PTAS

LYON & LYON LLP JOHN KAPPOS 633 WEST FIFTH STREET **SUITE 4700** LOS ANGELES, CA 90071-2066



UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 06/01/1998

REEL/FRAME: 9242/0253

NUMBER OF PAGES: 3

ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS). BRIEF:

ASSIGNOR:

BARBUT, DENISE

DOC DATE: 05/13/1998

ASSIGNOR:

MAAHS, TRACY D.

DOC DATE: 05/19/1998

ASSIGNEE:

EMBOL-X, INC. 645 CLYDE AVENUE MOUNTAIN VIEW, CALIFORNIA 94043-08982226 230 1068 2213

SERIAL NUMBER:

PATENT NUMBER:

FILING DATE: 12/17/1997

ISSUE DATE:

ILS PRINTED UTION

EXPRESS MAILING LABEL NO. EV337189705US

9242/0253 PAGE 2

JACQUELINE MOORE, PARALEGAL ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS

FORM PTO-1595	8-1998 U.S. DEPARTMENT OF COMMERCE
1-31-92 RE	Patent and Trademark Office
To the Honorable Commissioner of Patents and Trauman 1007	42199 Locuments or copy thereof.
1. Name of conveying party(les): Denise Barbut Tracy D. Maahs	Name: EMBOL-X, INC.
Additional name(s) of conveying party(ies) attached? Yes No	Internal Address:
3. Nature of conveyance:	Street Address: 645 Clyde Avenue
	City: Mountain View State: CA Sip 94043-2213
Execution Date: May 13 and 19, 1998	Additional name(s) & address(es) attached? Yes No
Execution Date. Way 13 and 17, 1996	
4. Application number(s) or patent number(s): If this document is being filed together with a new	application, the execution date of the application is:
A. Patent Application No(s). 08/982,226	B. Patent No(s).:
Additional number	rs attached? Yes No
Name and address of party to whom correspondence concerning document should be mailed:	
Name: John Kappos	7. Total fee (37 CFR 3.41): \$ 40.00 ☑ Enclosed
Internal Address: LYON & LYON LLP 633 West Fifth Street, Suite 4700	Charge this Deposit Account if any additional fee is required
Los Angeles, CA 90071-2066	8. Deposit Account Number: 12-2475
DO NOT	USE THIS SPACE
9. Statement and signature.	
	tion is true and correct and any attached copy is a true copy of the original
John Kansan	Date: May 27, 1998
John Kappos, Reg No. 37,861	
Total number of page	es including cover sheet: 3
OMB No. 0651-0011 (exp. 4/94)	
Do not (letach this portion
Mail documents to be recorded with required cover sheet infor	·
Commissioner o	f Patents and Trademarks
Box (17/1998 TTOH11 00000149 08982226	Assignments
Washin	gton, D.C. 20231
TOO had belong a land a la	to average about 30 minutes per document to be recorded, including time for appleting and reviewing the sample cover sheet. Send comments regarding this
burden estimate to the U.S. Patent and Trademark Office, Office Office of Management and Budget, Paperwork Reduction Proje	e of Information Systems, PK2-1000C, Washington, D.C. 20251, and to all

accordance with this Assignment.

ASSIGNORS hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this Agreement;

ASSIGNORS further covenant that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNORS and will testify as to the same in any interference or litigation related thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents in the United States or in any foreign country, which may be necessary or desirable to carry out the purposes thereof.

WITNES	S my hand at	Ne	w York	, New York, this
13th	day of	May	19 98.	1)
		,	Denise Barbut	W
WITNES	S my hand at	MOUN	TAIN VIEW	, California, this
19th	day of		, 19 39	Maah
			Tracy Dy Maahs	

SUPPLEMENTAL DECLARATION **Utility Application**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint Inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled CANNULA WITH ASSOCIATED FILTER AND METHODS OF USE DURING CARDIAC SURGERY

the specification	on of which
(Check One)	is attached hereto. was filed on <u>December 17, 1997</u> , as United States Application Serial No. <u>08/982,226</u> and was amended on (if applicable)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment(s) referred to above. I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, § 1.56(1). I hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

Application Number			
- Aprioation (tallibe)	Country	Date of Filing	Priority Claimed
	 		Yes No
		·	·
] [

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application.

Application Number	Date of Filing	Status Patented, Pending or Abandoned
08/842,727 08/640,015 08/584,759 08/580,223 08/553,137	April 16, 1997 April 30, 1996 January 11, 1996 December 28, 1995 November 7, 1995	Pending USP 5,769,816 Abandoned Abandoned Abandoned

[(714) 751-6606		LYON & LYON LLP 633 W Fifth St., Suite 4700 Los Angeles, CA 90071	Direct Telephone calls to: John Kappos (714) 751-6606
------------------	--	-------------------------------------------------------------------------	-------------------------------------------------------------

	FULL NAME OF INVENTOR	FIRST Name Denise	MIDDLE Initial	LAST Name Barbut	
201	RESIDENCE & CITIZENSHIP	City New York	State or Foreign Country New York	Country of Citizenship USA	
	POST OFFICE ADDRESS	Post Office Address 70 East 77th Street	City New York	State or Country NY	Zip Code 10021
	FULL NAME OF INVENTOR	FIRST Name Tracy	MIDDLE Initial	LAST Name Maahs	
202	RESIDENCE & CITIZENSHIP	City Redwood City	State or Foreign Country California	Country of Citizenship USA	
	POST OFFICE ADDRESS	Post Office Address 312 Sequola Avenue	City Redwood City	State or Country California	Zip Code 94061
	FULL MANGE OF				1 34001
	FULL NAME OF INVENTOR	FIRST Name None	MIDDLE Initial	LAST Name	·
203	RESIDENCE & CITIZENSHIP	City	State or Foreign Country	Country of Citiz	zenship
	POST OFFICE ADDRESS	Post Office Address	City	State or Country	Zip Code

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of United States Code, and that such willful false statements may j opardize the validity of the application or any patent issuing thereon.

Signature of Inventor	Denise Barbut	_
Date 3130/99		_
100 7000		
Signature of Inventor	Tracy D. Maahs	
Date		_

	FULL NAME OF INVENTOR	FIRST Name Denise	MIDDLE Initial	LAST Name	
201	RESIDENCE & CITIZENSHIP POST OFFICE	City New York	State or Foreign Gountry New York	Barbut Country of Citizenship USA	
	ADDRESS	Post Office Address 70 East 77th Street	City New York	State or Country NY	Zip Cod 10021
	FULL NAME OF INVENTOR	FIRST Name Tracy	MIDDLE Initial	LAST Name	1 10021
202	RESIDENCE & CITIZENSHIP POST OFFICE	City Redwood City	State or Foreign Country California	Mashs Country of Citizenship USA	
	ADDRESS	Post Office Address 312 Sequoia Avenue	City Redwood City	Sum or Country California	Zip Code 84081
	FULL NAME OF INVENTOR	FIRST Name None	MIDDLE Initial	LAST Name	1 04001
203	RESIDENCE & CITIZENSHIP	City	State or Foreign Country	Country of Citizenship	
	POST OFFICE ADDRESS	Post Office Address	City	State or Country	Zip Cods

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Denise Barbut
Tracy D. Maahs
- Just il Marka